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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Application Number 10/576.574 Filing Date April 18, 2006 First Named Inventor Obara, Rikuro Art Unit Unassigned **Examiner Name** Unassigned Attorney Docket Number 25779K-026100US

Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) X After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to-a After Final Proprietary Information * **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify Extension of Time Request **Terminal Disclaimer** below): Declaration and Power of Attorney **Express Abandonment Request** Request for Refund Supplemental Application Data Sheet Information Disclosure Statement CD, Number of CD(s) Copy of Notice of Missing Requirements Return Postcard Landscape Table on CD The Commissioner is authorized to charge any additional fees to Deposit Remarks Certified Copy of Priority Account 20-1430. Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Townsend and Townsend and Crew LLP Signature

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Sharyl Brown (

CERTIFICATE OF TRANSMISSION/MAILING

Reg. No.

39,496

Date

July 22, 2008

Typed or printed name

Printed name

Date

Kent J. Tobin

July 22, 2008

130

Complete if Known suant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/576,574 **Application Number** TRANSMITTAL Filing Date April 18, 2006 For FY 2007 Obara, Rikuro First Named Inventor **Examiner Name** Unassigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit Unassigned TOTAL AMOUNT OF PAYMENT (\$) 130 Attorney Docket No. 25779K-026100US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Utility 310 155 510 255 210 105 Design 210 100 105 50 130 65 Plant 210 105 310 155 160 80 Reissue 310 155 510 255 . 620 310 **Provisional** 100 0 0 210 0 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 210 105 Multiple dependent claims 370 185 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims Fee (\$) Fee (\$) -20 or HP = Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) -3 or HP = ' HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) - 100 = ____ / 50 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount)

Effective on 12/08/2004.

SUBMITTED BY	Υ	n =			
Signature	00	·	Registration No. (Attorney/Agent) 39,496	Telephone 650-326-2400	
Name (Print/T	Type) Kent J. Tobin			Date July 22, 2008	

61440130 v1

Other (e.g., late filing surcharge): Surcharge

Complete if Known

	Consolidated Appropriations Act,		Application Number	10/576,	574					
FEE TRANSMITTAL			Filing Date	April 18	April 18, 2006					
For FY 2007			First Named Inventor	Obara,	Obara, Rikuro					
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name	Unassi	Unassigned					
		Art Unit	Unassi	Unassigned						
TOTAL AMOUNT	OF PAYMENT (\$) 130	Attorney Docket No.	25779K	25779K-026100US						
METHOD OF PA	YMENT (check all that app	oly)								
Check Credit Card Money Order Other (please identify):										
Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP										
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)										
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee										
Charge any additional fee(s) or underpayments of fee(s) Under 37 CFR 1.16 and 1.17 Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
FEE CALCULAT						<u> </u>				
1. BASIC FILING, SEARCH, AND EXAMINATION FEES										
	FILING FEES <u>Small Entit</u>		RCH FEES E. Small Entity		ION FEES Entity					
Application Ty	/pe <u>Fee (\$) Fee (\$)</u>	Fee	(\$) Fee (\$)	Fee (\$) Fe	e (\$)	Fees Paid (\$)				
Utility	310 155	510	255	210 10	05					
· Design	210 105	100	50	130	65					
Plant	210 105	310	155	160	80					
Reissue	310 155	510	255	620 3	10					
Provisional	210 100	(0	0	0	<u> </u>				
2. EXCESS CLA	JM FEES				<u> </u>	Small Entity				
Fee Description Each claim ov	er 20 (including Reissues)	•			Fee (\$) 50	Fee (\$) 25				
Each independ	105									
Multiple dependent claims					370	185				
	Total Claims					pendent Claims				
	0 or HP = x of total claims paid for, if greater th	= ian 20			Fee (\$)	Fee Paid (\$)				
Indep. Claims	Extra Claims	Fee (\$) Fe	e Paid (\$)	_						
	3 or HP = X of independent claims paid for, if q	rester than 3								
3. APPLICATION		reater than 3								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer										
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4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)										
Other (e.g., late filing surcharge): Surcharge 130										
SUBMITTED BY										
Signature	0)	· 1	Registration No.	196	Telephone	650-326-2400				
	Kart I Tabi		(Attorney/Agent)							
Name (Print/Type)	Kent J. Tobin				Date Ju	ly 22, 2008				

Effective on 12/08/2004.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspito.gov

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. ATTY. DOCKET NO. 10/576,574 Rikuro Obara 051319-0267

29619 SCHULTE ROTH & ZABEL LLP ATTN: JOEL E. LUTZKER 919 THIRD AVENUE NEW YORK, NY 10022

INTERNATIONAL APPLICATION NO. PCT/US04/26628 I.A. FILING DATE PRIORITY DATE 08/17/2004 11/04/2003

> **CONFIRMATION NO. 4119 371 FORMALITIES LETTER**



Date Mailed: 06/24/2008

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 04/18/2006
- Copy of the International Search Report filed on 04/18/2006
- Copy of IPE Report filed on 04/18/2006
- · Oath or Declaration filed on 04/18/2006
- Request for Immediate Examination filed on 04/18/2006
- U.S. Basic National Fees filed on 04/18/2006
- Specification filed on 04/18/2006
- Claims filed on 04/18/2006
- Abstracts filed on 04/18/2006
- Drawings filed on 04/18/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DONNA S GREENE

Telephone: (703) 308-9140 EXT 222